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NOVEL BENZOTHIOPINES HAVING ACTIVITY AS INHIBITORS OF ILEAL
BILE ACID TRANSPORT AND TAUROCHOLATE UPTAKE

Abstract:

This invention relates to novel benzothiepinines, derivatives and analogs thereof, pharmaceutical compositions containing them and their use in medicine, particularly in the prophylaxis and treatment of hyperlipidemic conditions, such as is associated with atherosclerosis, or hypercholesterolemia, in mammals.

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(54) Title: NOVEL BENZOTHIOPINES HAVING ACTIVITY AS INHIBITORS OF ILEAL BILE ACID TRANSPORT AND TAUROCHOLATE UPTAKE (57) Abstract This invention relates to novel benzothiepinines, derivatives and analogs thereof, pharmaceutical compositions containing them and their use in medicine, particularly in the prophylaxis and treatment of hyperlipidemic conditions, such as is associated with atherosclerosis, or hypercholesterolemia, in mammals.		

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**NOVEL BENZOTHIOPINES HAVING ACTIVITY AS INHIBITORS
OF ILEAL BILE ACID TRANSPORT AND TAUROCHOLATE
UPTAKE**

5 This application is a continuation in part of US application
08/305526 filed September 12, 1994, now pending.

BACKGROUND OF THE INVENTION

10 This invention relates to novel benzothiepins, derivatives and
analogues thereof, pharmaceutical compositions containing them and
their use in medicine, particularly in the prophylaxis and treatment
of hyperlipidemic conditions, such as is associated with
atherosclerosis, or hypercholesterolemia, in mammals.

15 It is well-settled that hyperlipidemic conditions associated
with elevated concentrations of total cholesterol and low-density
lipoprotein cholesterol are major risk factors for coronary heart
disease and particularly atherosclerosis. Interfering with the
circulation of bile acids within the lumen of the intestinal tract is
found to reduce the levels of serum cholesterol in a causal
relationship. Epidemiological data has accumulated which
indicates such reduction leads to an improvement in the disease
20 state of atherosclerosis. Stedronsky, in "Interaction of bile acids and
cholesterol with nonsystemic agents having hypocholesterolemic
properties," Biochimica et Biophysica Acta, 1210 (1994) 255-287
discusses the biochemistry, physiology and known active agents
surrounding bile acids and cholesterol.

25 Pathophysiologic alterations are shown to be consistent with
interruption of the enterohepatic circulation of bile acids in humans
by Heubi, J.E., et al. See "Primary Bile Acid Malabsorption:
Defective in Vitro Ileal Active Bile Acid Transport",
Gastroenterology, 1982;83:804-11.

30 In fact, cholestyramine binds the bile acids in the intestinal
tract, thereby interfering with their normal enterohepatic circulation
(Reihner, E. et al, in "Regulation of hepatic cholesterol metabolism in
humans: stimulatory effects of cholestyramine on HMG-CoA
reductase activity and low density lipoprotein receptor expression in
35 gallstone patients", Journal of Lipid Research, Volume 31, 1990,
2219-2226 and Suckling et al, "Cholesterol Lowering and bile acid

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excretion in the hamster with cholestyramine treatment",
Atherosclerosis, 89(1991) 183-190). This results in an increase in
liver bile acid synthesis by the liver using cholesterol as well as an
upregulation of the liver LDL receptors which enhances clearance of
5 cholesterol and decreases serum LDL cholesterol levels.

In another approach to the reduction of recirculation of bile
acids, the ileal bile acid transport system is a putative
pharmaceutical target for the treatment of hypercholesterolemia
based on an interruption of the enterohepatic circulation with
10 specific transport inhibitors (Kramer, et al, "Intestinal Bile Acid
Absorption" The Journal of Biological Chemistry, Vol. 268, No. 24,
Issue of August 25, pp. 18035-18046, 1993).

In a series of patent applications, eg Canadian Patent
Application Nos. 2,025,294; 2,078,588; 2,085,782; and 2,085,830; and EP
15 Application Nos. 0 379 161; 0 549 967; 0 559 064; and 0 563 731, Hoechst
Aktiengesellschaft discloses polymers of various naturally occurring
constituents of the enterohepatic circulation system and their
derivatives, including bile acid, which inhibit the physiological bile
acid transport with the goal of reducing the LDL cholesterol level
20 sufficiently to be effective as pharmaceuticals and, in particular for
use as hypocholesterolemic agents.

In vitro bile acid uptake inhibition is disclosed to show
hypolipidemic activity in The Wellcome Foundation Limited
disclosure of the world patent application number WO 93/16055 for
25 "Hypolipidemic Benzothiazepine Compounds"

Selected benzothiepine is disclosed in world patent
application number WO93/321146 for numerous uses including fatty
acid metabolism and coronary vascular diseases.

Other selected benzothiepine is known for use as
30 hypolipemic and hypocholesterolaemic agents, especially for the
treatment or prevention of atherosclerosis as disclosed by application
Nos. EP 508425, FR 2661676, and WO 92/18462, each of which is
limited by an amide bonded to the carbon adjacent the phenyl ring of
the fused bicyclo benzothiepine ring.

35 The above references show continuing efforts to find safe,
effective agents for the prophylaxis and treatment of hyperlipidemic

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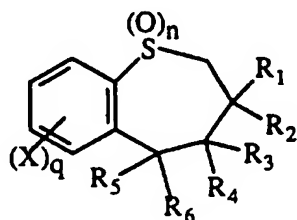
diseases and their usefulness as hypocholesterolemic agents.

Additionally selected benzothiepinines are disclosed for use in various disease states not within the present invention utility. These are EP 568 898A as abstracted by Derwent Abstract No. 93-351589; WO 89/1477/A as abstracted in Derwent Abstract No. 89-370688; U.S. 3,520,891 abstracted in Derwent 50701R-B; US 3,287,370, US 3,389,144; US 3,694,446 abstracted in Derwent Abstr. No. 65860T-B and WO 92/18462.

The present invention furthers such efforts with novel benzothiepinines, pharmaceutical compositions and methods of use therefor.

SUMMARY OF THE INVENTION

The present invention is for a compound of the formula (I)



I

wherein q is an integer of from 1 to 4;

n is independently an integer of from 0 to 2.

R₁ and R₂ are independently H, C₁₋₁₀ alkyl or R₁ and R₂ taken together form C₃-C₁₀ cycloalkyl, preferably wherein both R₁ and R₂ cannot be hydrogen;

R₃ and R₄ are independently H, alkyl, aryl, OR, NRR', S(O)_nR, or R₃ and R₄ together form =O, =NOH, =S, =NNRR', =NR'', =CRR' where R, R' and R'' are selected from H, alkyl, alkenylalkyl, alkynylalkyl, aryl, carboxyalkyl, carboalkoxyalkyl, cycloalkyl, or cyanalkyl; and provided that both R₃ and R₄ cannot be OH, NH₂ and SH;

R₅ is selected from alkyl, aryl, heterocycle, OR, NRR', S(O)_nR wherein the alkyl, aryl, and heterocycle are each optionally substituted with alkyl, alkenyl, alkynyl, halogen, OR, NRR', S(O)_nR, NO₂, haloalkyl, carboxy, carboalkoxy, CN, or N⁺RR'R''Y⁻ wherein R,

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R' and R" are each independently as defined above, and Y is independently an anion, with the proviso that R₅ cannot be OH, NH₂, NRR' or N+RR'R"Y⁻ when R₁, R₂, R₃, R₄, and R₆ are all hydrogen or R and R' are hydrogen or C₁-C₆ alkyl; with further proviso that when
 5 R₅ and R₆ are both hydrogen or when R₅ is hydrogen and R₆ is hydroxy, R₁, R₂, R₃, and R₄ cannot be all hydrogen and preferably when either R₅ or R₆ is NRR', then R₃ or R₄ cannot be aryl;

R₆ is selected from hydrogen or R₄ and R₆ together form -O-, or R₅ and R₆ together form a C₃-C₁₀ cycloalkylidene; with the proviso
 10 that R₄ and R₆ can not together be -O- when R₃ is OH, NH₂ or SH or when R₁, R₂, R₃ and R₅ is hydrogen;

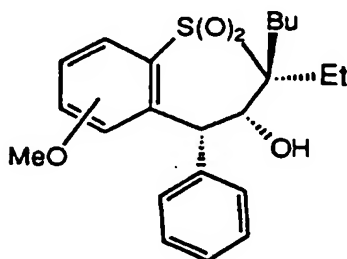
X is selected from H, alkyl, alkenyl, alkynyl, halogen, OH, NH₂, OR, NRR', NROR', S(O)_nR, NO₂, haloalkyl, carboxy, carboalkoxy, CN, or N+RR'R"Y⁻ wherein R, R' and R" are each
 15 independently defined as above and Y is independently an anion; or pharmaceutically acceptable salt, solvate or prodrug thereof.

Preferred compounds include compounds of formula I wherein R₁ and R₂ cannot both be hydrogen;

Preferred compounds also include compounds of formula I
 20 wherein when either R₅ or R₆ is NRR', then R₃ or R₄ cannot be aryl.

The more preferred compounds are of the formula I wherein R₁ is butyl, R₂ is ethyl, R₃ is hydrogen, R₄ is hydroxy, R₅ is phenyl, q is 0, n is 2, and X is methoxy as shown below, or hydroxylamino or amino wherein each of R₂, R₄ and R₅ are in the same stereo
 25 relationship to the ring system which may be depicted as follows:

30



The present invention is also a pharmaceutical composition

for the prophylaxis or treatment of a disease or condition for which a bile acid uptake inhibitor is indicated, such as hyperlipidemic condition, and in particular atherosclerosis, which comprises a compound of the formula I in an amount effective for

5 inhibiting the bile acid uptake or the prophylaxis or treatment of the disease or condition benefitted thereby and a pharmaceutically acceptable carrier.

The present invention is also a method of treating a disease or condition in humans for which a bile acid uptake inhibitor is

10 indicated which comprises a compound of the formula I in unit dosage form.

The present invention is also a process for the preparation of a compound of formula I.

DETAILED DESCRIPTION

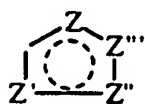
15 "Alkyl", "alkenyl" and "alkynyl" unless otherwise noted are each of from one to six carbons for alkyl or two to six carbons for alkenyl and alkynyl in the present invention and, therefore, means methyl, ethyl, propyl, butyl, pentyl or hexyl and ethenyl, propenyl, butenyl, pentenyl, or hexenyl and ethynyl, propynyl, butynyl,

20 pentynyl, or hexynyl respectively and isomers thereof. When each of these groups is referred to as a moiety in a parent molecule, such as alkenylalkyl, these definitions also apply.

"Aryl" is phenyl or naphthyl.

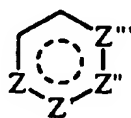
"Heterocyclo" is one of the following:

25



(i)

or



(ii)

30 wherein Z, Z', Z'' or Z''' is C, S, O, or N, with the proviso that one of Z, Z', Z'' or Z''' is other than carbon, but is not O or S when attached to another Z atom by a double bond or when attached to another O or S atom. Furthermore, the optional substituents are understood to be

35 attached to Z, Z', Z'' or Z''' only when each is C.

The halo group meant by "halogen" or meant in haloalkyl is a

fluoro, chloro, bromo or iodo group.

Pharmaceutically acceptable salts are particularly suitable for medical applications because of their greater aqueous solubility relative to the parent. Such salts must clearly have a

5 pharmaceutically acceptable anion or cation. Suitable pharmaceutically acceptable acid addition salts of the compounds of the present invention when possible include those derived from inorganic acids, such as hydrochloric, hydrobromic, phosphoric, metaphosphoric, nitric, sulphonic and sulphuric acids, and organic

10 acids, such as acetic, benzenesulphonic, benzoic, citric, ethanesulphonic, fumaric, gluconic, glycollic, isothionic, lactic, lactobionic, maleic, malic, methansulphonic, succinic, -- toluenesulphonic, tartaric and trifluoroacetic acids. The chloride salt is particularly preferred for medical purposes. Suitable

15 pharmaceutically acceptable base salts include ammonium salts, alkali metal salts, such as sodium and potassium salts, and alkaline earth salts, such as magnesium and calcium salts.

The anions of the definition of Y in the present invention are, of course, also required to be pharmaceutically acceptable and are

20 also selected from the above list.

"Prodrug" is a physiologically functional derivative of a compound of the present invention, for example, an ester, wherein the pharmacologic action of the compound results from conversion by metabolic processes within the body. In other words, such

25 biotransformation upon administration to a mammal, such as a human, is capable of providing (directly or indirectly) the compound or an active metabolite thereof. These prodrugs may or may not be active in their own right.

The compounds of the formula I may have at least two

30 asymmetrical carbon atoms and therefore include rotational isomers. The invention includes all possible stereoisomers, in both pure form and in admixture, including racemic mixtures. Isomers can be prepared using conventional techniques, either by reacting enantiomeric starting materials or by separating isomers of a

35 compound of formula I.

Isomers may include geometric isomers, e.g. when R_1 contains a double bond. All such isomers are contemplated for this invention.

5 In other words, diastereoisomers, enantiomers, racemates and tautomers are contemplated by the present invention.

The compounds of the formula I as referred to in the compositions and methods of use of the present invention are meant to include their salts, solvates and prodrugs as defined herein.

10 The term "a bile acid uptake inhibitor" as used in the present invention refers to inhibition of absorption of bile acids from the intestine of a mammal, such as a human, and includes increasing the fecal excretion of bile acids in a mammal, such as a human, as well as reducing the blood plasma or serum concentrations of cholesterol and cholesterol ester and more specifically reducing LDL
15 and VLDL cholesterol in a mammal, such as a human. Conditions or diseases which benefit from the prophylaxis or treatment by bile acid uptake inhibition are, for example a hyperlipidemic condition, such as atherosclerosis.

20 The starting materials for use in the preparation of the compounds of the invention are known or can be prepared by conventional methods known to a skilled person or in an analogous manner to processes described in the art.

Generally, the compounds of the formula I can be prepared in one of the following procedures.

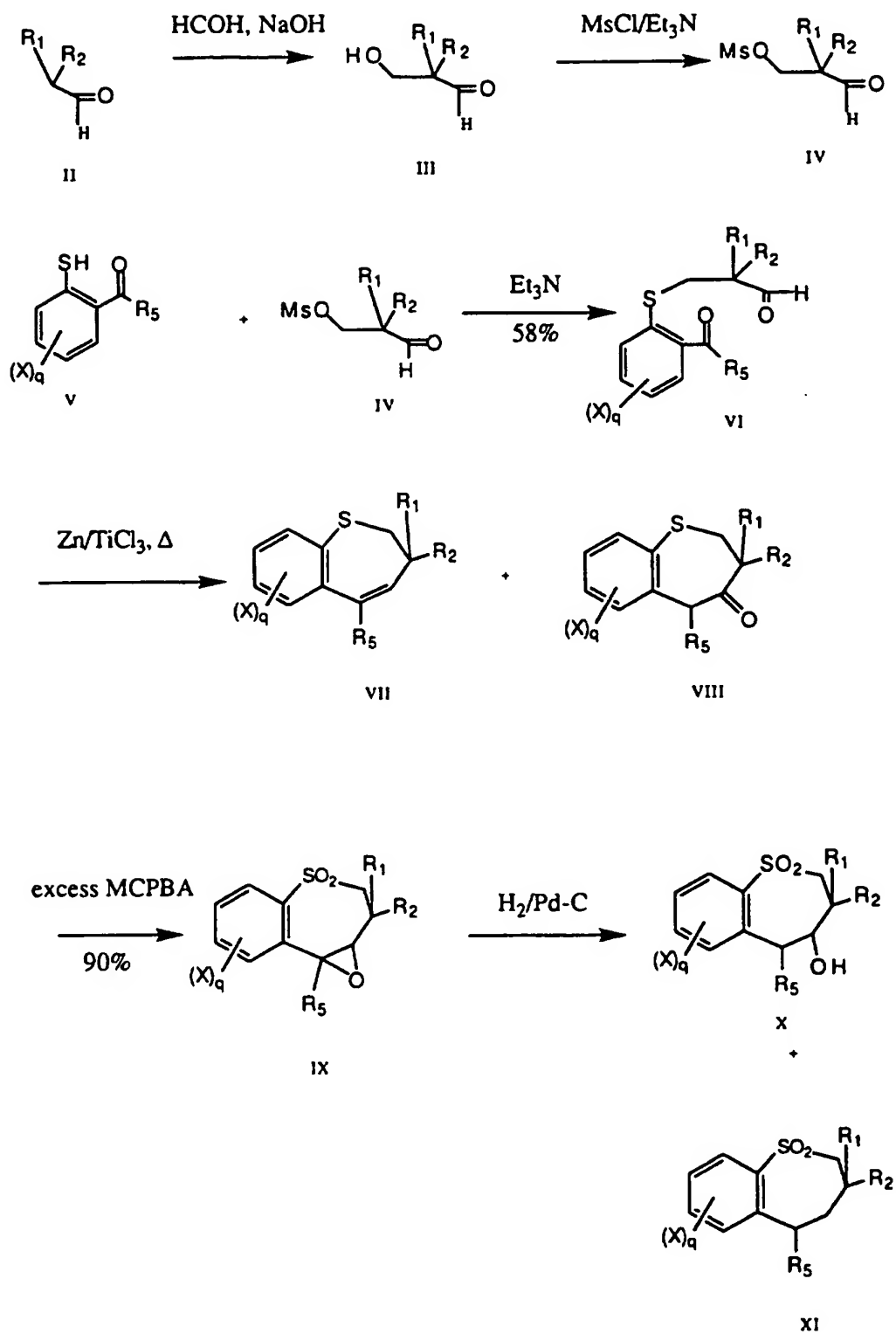
25 The compounds in this invention can be synthesized by the route shown in scheme 1.

Reaction of aldehyde II with formaldehyde and sodium hydroxide yields the hydroxyaldehyde III which is converted to mesylate IV with methansulfonyl chloride and triethylamine
30 similar to the procedure described in Chem. Ber. 98, 728-734 (1965). Reaction of mesylate IV with thiophenol V, prepared by the procedure described in WO 93/16055, in the presence of triethylamine yields keto-aldehyde VI which can be cyclized with the reagent, prepared from zinc and titanium trichloride in refluxing ethylene glycol dimethyl ether (DME), to give a mixture of 2,3-
35

- 5 dihydrobenzothiepine VII and two racemic stereoisomers of benzothiepin-(5*H*)-4-one VIII when R₁ and R₂ are nonequivalent. Oxidation of VII with 3 equivalents of *m*-chloroperbenzoic acid (MCPBA) gives isomeric sulfone-epoxides IX which upon
- 10 hydrogenation with palladium on carbon as the catalyst yield a mixture of four racemic stereoisomers of 4-hydroxy-2,3,4,5-tetrahydrobenzothiepine-1,1-dioxides X and two racemic stereoisomers of 2,3,4,5-tetrahydro-benzothiepine-1,1-dioxides XI when R₁ and R₂ are nonequivalent.
- 15 Optically active compounds of this invention can be prepared by using optically active starting material III or by resolution of compounds X with optical resolution agents well known in the art as described in *J. Org. Chem.*, 39, 3904 (1974), *ibid.*, 42, 2781 (1977), and *ibid.*, 44, 4891 (1979)

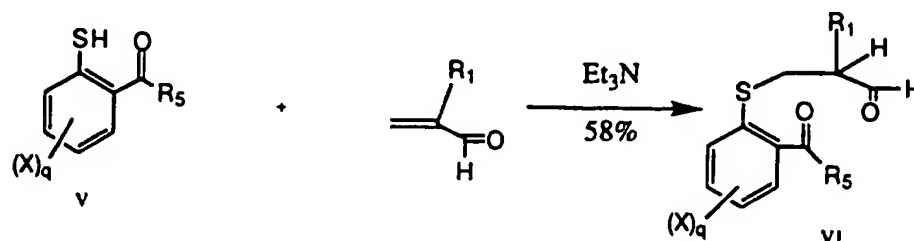
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Scheme 1



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Alternatively, keto-aldehyde VI where R_2 is H can be prepared by reaction of thiophenol V with a 2-substituted acrolein.



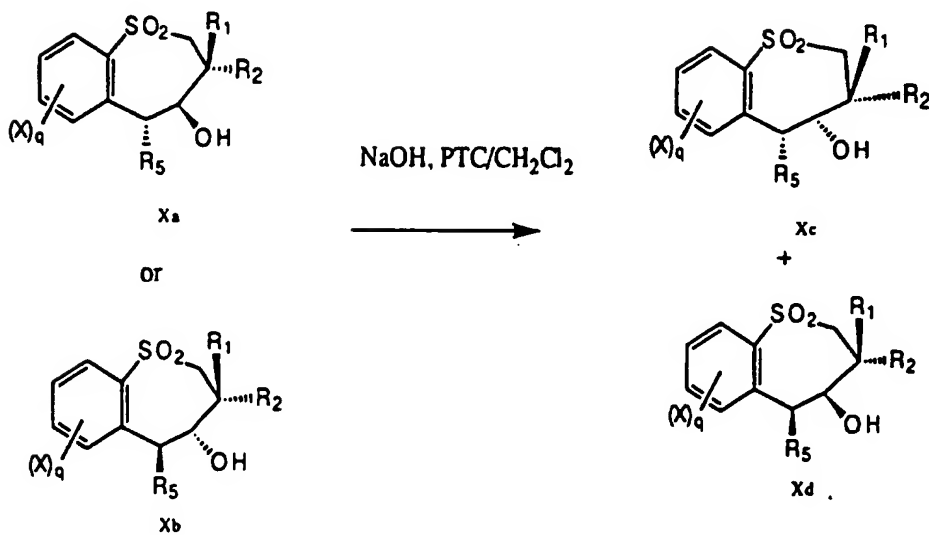
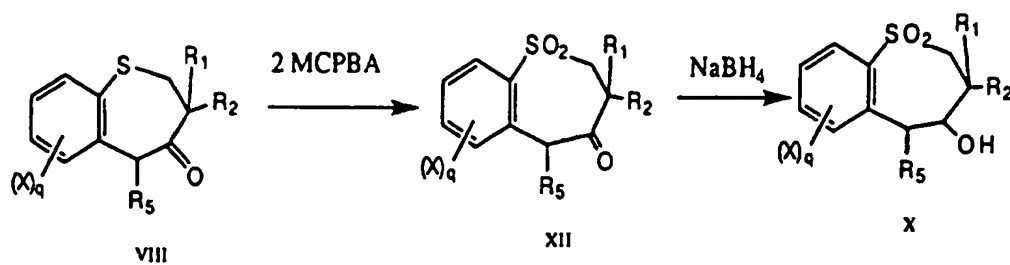
- 5 Benzothiepin-(5*H*)-4-one VIII can be oxidized with MCPBA to give the benzothiepin-(5*H*)-4-one-1,1-dioxide XII which can be reduced with sodium borohydride to give four racemic stereoisomers of X. The two stereoisomers of X, Xa and Xb, having the OH group and R_5 on the opposite sides of the benzothiepine ring can be converted to the other two isomers of X, Xc and Xd, having the OH group and R_5 on the same side of the benzothiepine ring by reaction in methylene chloride with 40-50% sodium hydroxide in the presence of a phase transfer catalyst (PTC). The transformation can also be carried out

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when $R_1 = \text{Bu}$, $R_2 = \text{Et}$, $R_5 = \text{Ph}$, $X = \text{H}$, $q = 4$

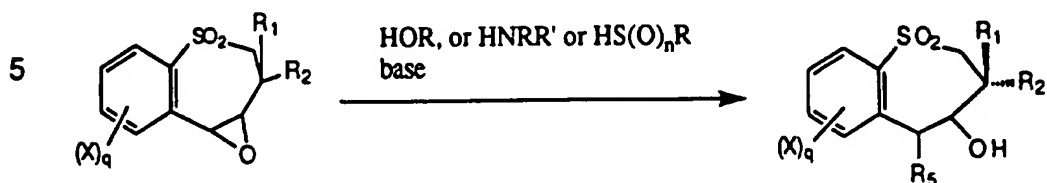
6a = Xa

6b = Xb

6c = Xc

6d = Xd

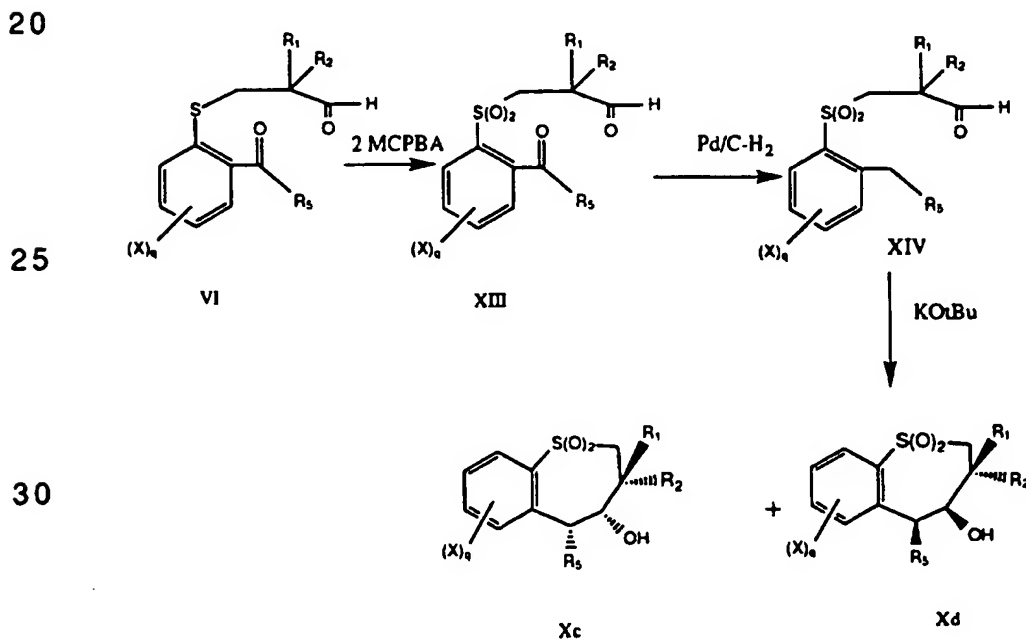
The compounds of this invention where R_5 is OR, NRR' and $S(O)_nR$ and R_4 is hydroxy can be prepared by reaction of epoxide IX where R_5 is H with thiol, alcohol, and amine in the presence of a base.



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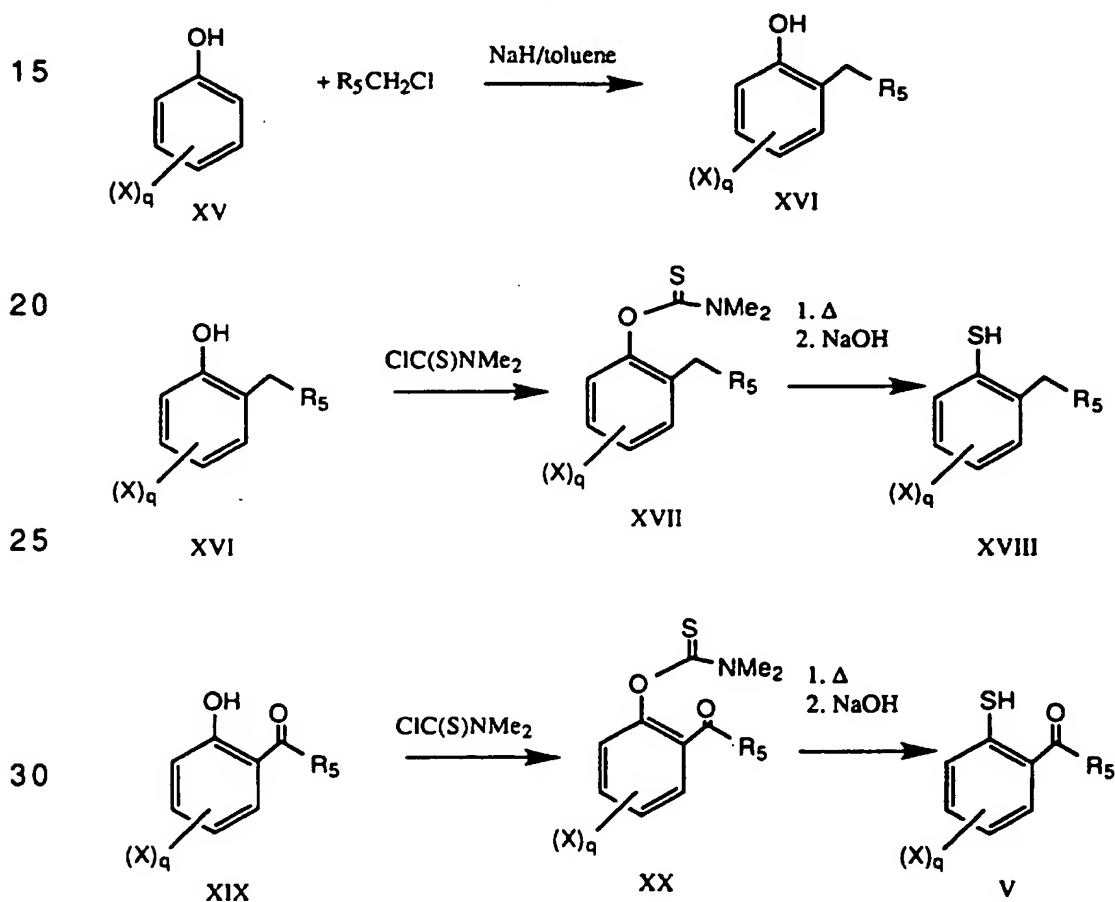
Another route to Xc and Xd of this invention is shown in scheme 2. Compound VI is oxidized to compound XIII with two equivalent of m-chloroperbenzoic acid. Hydrogenolysis of compound XIII with palladium on carbon yields compound XIV which can be cyclized with either potassium t-butoxide or sodium hydroxide under phase transfer conditions to a mixture of Xc and Xd. Separation of Xc and Xd can be accomplished with either HPLC or fractional crystallization.

Scheme 2



The thiopenols XVIII and V used in this invention can also be prepared according to the scheme 3. Alkylation of phenol XV with an arylmethyl chloride in nonpolar solvent according to the procedure in *J. Chem. Soc.*, 2431-2432 (1958) gives the ortho substituted phenol XVI. The phenol XVI can be converted to the thiophenol XVIII via the thiocarbamate XVII by the procedure described in *J. Org. Chem.*, 31, 3980 (1966). The phenol XVI is first reacted with dimethyl thiocarbamoyl chloride and triethylamine to give thiocarbamate XVII which is thermal rearranged at 200-300 °C and the rearranged product is hydrolyzed with sodium hydroxide to yield the thiophenol XVIII. Similarly, Thiophenol V can also be prepared from 2-acylphenol XIX via the intermediate thiocarbamate XX.

Scheme 3

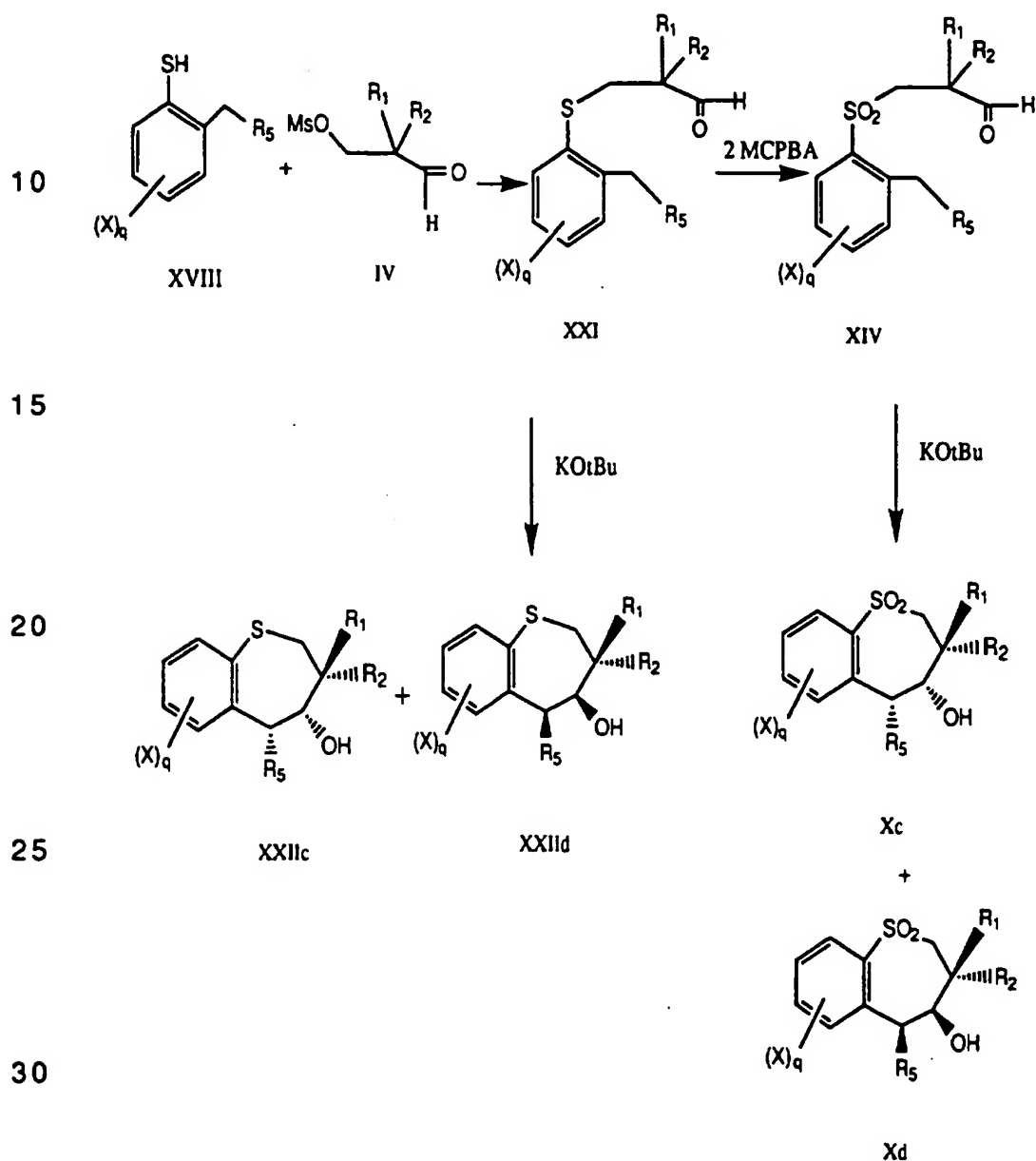


Scheme 4 shows another route to benzothiepine-1,1-dioxides Xc and Xd starting from the thiophenol XVIII. Compound XVIII can be reacted with mesylate IV to give the sulfide-aldehyde XXI. Oxidation

of XXI with two equivalents of MCPBA yields the sulfone-aldehyde XIV which can be cyclized with potassium t-butoxide to a mixture of Xc and Xd. Cyclization of sulfide-aldehyde with potassium t-butoxide also gives a mixture of benzothiepine XXIIc and XXIIId.

5

Scheme 4



Examples of amine and hydroxylamine containing compounds of this invention can be prepared as shown in scheme 5 and scheme 6.

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2-Chloro-4-nitrobenzophenone is reduced with triethylsilane and trifluoromethane sulfonic acid to 2-chloro-4-nitrodiphenylmethane

- 15 -

32. Reaction of 32 with lithium sulfide followed by reacting the resulting sulfide with mesylate IV gives sulfide-aldehyde XXIII. Oxidation of XXIII with 2 equivalents of MCPBA yields sulfone-aldehyde XXIV which can be reduced by hydrogenation to the hydroxylamine XXV. Protecting the hydroxylamine XXV with di-*t*-butyldicarbonate gives the *N,O*-di-(*t*-butoxycarbonyl)hydroxylamino derivative XXVI. Cyclization of XXVI with potassium *t*-butoxide and removal of the *t*-butoxycarbonyl protecting group gives the a mixture of hydroxylamino derivative XXVIIc and XXVIIId. The primary amine XXXIIIc and XXXIIId derivatives can also be prepared by further hydrogenation of XXIV or XXVIIc and XXVIIId.

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Scheme 5

